Attorney's Docket No.: 07977-088002 / US3155D1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hongyong Zhang Art Unit: 2814

Serial No.: 09/362,808 Examiner: Shrinivas H. Rao

Filed : July 28, 1999 Conf. No. : 7320

Title : METHOD OF FABRICATING SEMICONDUCTOR DEVICE

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated March 20, 2007, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. The \$180 late submission fee under §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 66/19/07

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| Substitute Form PTO-1449 (Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Dacket Na.<br>07977-088002 | Application No.<br>09/362,808 |  |
|---|--|---------------------------------------|-------------------------------|--|
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) |  | Applicant<br>Hongyong Zhang           |                               |  |
|   |  | Filing Date<br>July 28, 1999          | Group Art Unit<br>2814        |  |

|   | U.S. Patent Documents |                    |                     |          |       |          |                                       |
|---|-----------------------|--------------------|---------------------|----------|-------|----------|---------------------------------------|
| Examiner<br>Initial                     | Desig.<br>ID          | Document<br>Number | Publication<br>Date | Patentee | Class | Subclass | Filing Date If Appropriate            |
|   | AA                    |                    |                     |          |       | i.       |                                       |
|   | AB                    |                    |                     |          |       |          |                                       |
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|   | AJ                    |                    |                     |          |       |          |                                       |
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| *************************************** | Foreign Patent Documents or Published Foreign Patent Applications |                    |                     |                             |       |          |      |               |
|---|---|--------------------|---------------------|-----------------------------|-------|----------|------|---------------|
| Examiner<br>Initial                     | Desig.<br>ID  | Document<br>Number | Publication<br>Date | Country or<br>Patent Office | Class | Subclass |      | ilation<br>No |
|   | AL.   | JP63-296353        | 12/02/1988          | JAPAN                       |       |          | Full |               |
|   | AM  | JP03-286524        | 12/17/1991          | JAPAN                       |       |          | Full |               |
|   | AN  |                    |                     |                             |       |          |      |               |
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|   | AP  |                    |                     |                             |       |          |      |               |

| \$                  | Other D      | ocuments (include Author, Title, Date, and Place of Publication) |  |
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| Examiner<br>Initial | Desig.<br>ID | Document   |  |
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